# Ozone Pollution Extremes in Southeast China Exacerbated by Reduced Uptake by Vegetation during Hot Droughts

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#### **Key Points**:

- The 2019 and 2022 droughts caused marked reductions in photosynthetic activity and ozone uptake by vegetation in China.
- This worsened air pollution extremes, leading to a threefold increase in high-ozone events over 100 ppbv.
- The linkage to soil moisture droughts can be exploited to improve ozone forecasts for public health warnings.

**Abstract:** Using a decade of observations and chemistry-climate model simulations (2014-2023), we highlight the key role of biosphere-atmosphere interactions in driving late summer—autumn ozone pollution extremes over Southeast China during hot droughts. In the 2019 and 2022 droughts, stomatal closure in the Yangtze River Basin, caused by soil moisture deficits, led to ~60% reductions in ozone deposition rates to vegetation, aligned with reduced photosynthesis inferred from satellite remote sensing of solar induced fluorescence. Ozone production increased due to higher isoprene emissions from heat stress, NO<sub>x</sub>-rich airflow from North China, and enhanced solar radiation. Soil drought also intensified temperatures and increased isoprene emissions (+27%), but had minimal impact on ozone (< 5 ppbv) in South China, where ozone formation is NO<sub>x</sub>-limited. Reduced ozone uptake by drought-stressed vegetation played a dominant role, driving 10–20 ppbv increases in daily maximum 8-h average ozone concentrations and a threefold rise in events exceeding 100 ppbv.

Plain Language Summary: Ozone pollution is a major problem in China, affecting both health and the environment. This study shows that the worst ozone pollution in Southeast China is linked to droughts and heatwaves in late summer and autumn. When plants experience soil moisture drought, they close small pores in their leaves to save water, which reduces their ability to absorb ozone, causing higher ozone levels in the air. During the record-setting droughts of 2019 and 2022, the lack of ozone uptake by stressed plants led to a threefold rise in high-ozone events over 100 ppbv, which is well above China's air quality limit of 80 ppbv. This significant impact of drought on ozone air quality was unknown in the region before and is important to understand as droughts and heatwaves may become more common in a warming climate. Recognizing the link to soil moisture drought can improve ozone forecasts and help with public health warnings.

#### 1. Introduction

- High concentrations of ozone in surface air are hazardous to human lungs and have been blamed for tens of billions of dollars in annual agricultural loss in China [e.g., Feng et al., 2022; Mao et al., 2024]. Transport of Asian ozone pollution contributed to increases in western US background ozone during the 1980s-2000s [e.g., Lin et al., 2012; 2015; 2017; Jaffe et al., 2018]. Over the past decade, substantial
- 48 effort has been invested in controlling emissions of SO<sub>2</sub>, NO<sub>x</sub>, and carbonaceous aerosols with the aim

of reducing PM<sub>2.5</sub> levels in China [*Zhang et al., 2019*]. The nationwide monitoring network reveals marked improvements in PM<sub>2.5</sub> air quality since 2014, however, severe ozone pollution persists in China despite NO<sub>x</sub> emission controls [*Wang et al., 2017; Lu et al., 2018*]. A number of studies have examined the influence of heterogeneous chemistry, ozone production regimes, heatwaves, and the temperature-driven biogenic emissions [e.g., *Li et al., 2019, 2020; Ma et al., 2019; Liu et al., 2021; Wang N. et al., 2022; Wang P. et al., 2022*]. Little is known about the role of compound drought and heat events (also termed hot droughts), which may become more prevalent in the context of global warming [e.g., *Trenberth et al., 2014*].

When plants are under drought stress, leaf stomata close to prevent water loss, inhibiting photosynthesis and limiting the uptake of ozone by vegetation (an important component of dry deposition) [Lin et al., 2019; Clifton et al., 2020]. The impact of drought-induced reductions in ozone removal is less studied compared to isoprene-induced ozone production, partly because the commonly used Wesely [1989] dry deposition scheme does not account for stomatal closure caused by soil drying (see Lin et al. [2019] for a review). Reductions in ozone removal by vegetation, caused by more frequent hot droughts, have been identified as a major climate penalty feedback that contributes to the persistence of surface ozone pollution over Europe in recent decades, according to new observations and models [Lin et al., 2020]. Limitation in ozone uptake by vegetation has also been reported for severe droughts in the US [e.g., Huang et al., 2016, Lin et al., 2017; Lin et al., 2019]. The Yangtze River Basin, home to over 400 million people in China, experienced a number of droughts in recent years, including the record-setting hot droughts of 2019 and 2022 [Chen et al., 2023; Liu and Zhou 2021; Liu et al., 2023; Ma et al., 2022]. Severe ozone pollution, above one standard deviation in the 10-year observational record, was measured across eastern China during late summer—fall of 2019 and 2022 (Fig.1 and Fig.S1).

Here we probe the underlying mechanisms driving the observed ozone extremes during hot droughts in China. In contrast to the North China Plain where surface ozone peaks seasonally in late spring—early summer (June), ozone pollution in the Yangtze Plain and the Pearl River Basin over Southeast China peaks in late summer—fall (September) (**Fig.S1**). During June—August, Southeast China experiences lower ozone as a consequence of increased cloudiness from the East Asian Summer Monsoon and the monsoonal intrusion of low-ozone air from the tropical Pacific [*Liu et al., 2002; Wang et al., 2008; Lin et al., 2009; Wang W. et al, 2022*]. Southeast China also features a wide coverage of forest ecosystems, providing favorable conditions for biosphere-atmosphere interactions. Using a suite of observations and chemistry-climate model simulations, we assess the contributions from land-atmosphere coupling, changes in ozone removal by vegetation, biogenic isoprene emissions and their interactions with anthropogenic NO<sub>x</sub> for ozone production.

#### 2. Methods: Observations and Model Simulations

We calculate maximum daily 8-h average ozone (MDA8 O<sub>3</sub>) concentrations using hourly measurements during 2014–2023 from China's national air quality monitoring network (Text S1). To investigate correlations between ozone and hot drought, we use version 4.08 of the Climate Research Unit (CRU) monthly 0.5°x0.5° gridded daily maximum 2 m temperature (T<sub>max</sub>) [*Harris et al. 2020*] and version 2.10 of the Standardized Precipitation Evapotranspiration Index (SPEI) database [Begueria et al., 2014]. SPEI is a multiscalar drought index considering the combined effects of precipitation and temperature; in this study we use SPEI02 that integrates water status over the preceding two months. We also analyze 500 hPa geopotential heights, 850 hPa winds, and surface downward shortwave radiation from the ERA-5 monthly mean reanalysis.

To investigate changes in vegetation after exposure to drought, we analyze satellite remote sensing of Solar Induced Fluorescence (SIF), a measurement of the small amounts of radiation emitted by

98 chlorophyll in plants during photosynthesis [Helm et al., 2020; Guanter et al., 2021; Chen et al., 99 2022]. To examine anomalies in isoprene emissions from vegetation, we analyze tropospheric column 100 densities of formaldehyde ( $\Omega_{HCHO}$ ), a high-yield isoprene oxidation product [Palmer et al., 2003; 101 Millet et al., 2008; Zheng et al., 2017]. Both  $\Omega_{HCHO}$  and SIF have been retrieved from the 102 TROPOspheric Monitoring Instrument (TROPOMI) on board the Copernicus Sentinel-5P satellite since 2018 [De Smedt et al., 2021; Guanter et al., 2021]. We also use the weekly Vegetation Health 103 104 Index (VHI) products from the Visible Infrared Imaging Radiometer Suite (VIIRS) onboard the 105 Suomi-NPP (2013–2020) and NOAA-20 (2021–2023) satellites (NESDIS, 2024).

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We conduct a set of 16-year hindcast simulations (2008–2023) with GFDL's Atmospheric and Land Model AM4/LM4 at  $\sim 100 \times 100 \text{ km}^2$  horizontal resolution [Horowitz et al., 2020], with updates to interannually-varying anthropogenic and biomass burning emissions, atmospheric chemistry, and dry deposition schemes following Lin et al. [2024ab]. One novel feature of AM4/LM4 used here is a mechanistic simulation of ozone deposition velocities (V<sub>d,O3</sub>) to vegetation depending on photosynthesis, soil water stress, and atmospheric CO<sub>2</sub> concentration [Lin et al., 2019; 2020]. The limitation imposed by soil water stress is determined by the ratio of water supply from soil-root interface to the transpiration demand at non-water-limited stomatal conductance, as simulated in our dynamic vegetation land model. Two model configurations are analyzed in this study; one with soil types and parameters taken from LM3.0 [Milly et al., 2014; Paulot et al., 2018] and the other with soil types and parameters taken from LM4.0 [Zhao et al., 2018; Held et al., 2019; Text S2]. Through nudging to horizontal winds from the NOAA Global Forecast System, the two experiments share large-scale atmospheric circulation while simulating soil moisture, temperature, and precipitation interactively. Both experiments capture low rainfall in September 2019 and 2022 over Southeast China but they exhibit different soil water holding capacity (Fig. S2). The LM4.0 soil configuration (hereafter AM4 wetSoil) simulates ~27% higher soil moisture levels than the LM3.0 soil configuration (hereafter AM4 drySoil) over Southeast China (Fig.S3). When soil moisture levels decrease below a critical threshold, as occurred during the 2019 and 2022 droughts in AM4 drySoil, significant stomatal closure is triggered to conserve water, limiting the intake of CO<sub>2</sub> for photosynthesis and the stomatal uptake of O<sub>3</sub> by vegetation. Ozone flux measurements worldwide confirm that our dry deposition scheme captures key V<sub>d,O3</sub> features, including spatial, seasonal, and interannual variability due to soil water stress [Lin et al., 2019].

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In all simulations, biogenic isoprene emissions increase at higher solar radiation and temperature, following the Model of Emissions of Gases and Aerosols from Nature (MEGAN) [Guenther et al., 2012], with updates to land cover and emission potentials as in Lin et al. [2024a]. The implementation of MEGAN in AM4 does not include a direct dependency on soil moisture. As we will discuss later, soil dryness affects surface air temperature and therefore the temperature-driven isoprene emissions. To isolate the effects of changes in ozone removal efficiency during drought, we conduct a third simulation using the same soil configuration as AM4\_drySoil but with dry deposition velocities (V<sub>d</sub>) of ozone and other reactive gases held constant at 2018 monthly values (hereafter FIXDEPV).

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#### 3. Observed correlations between hot drought and ozone pollution

Using observations, we first demonstrate correlations between surface air temperature, drought, and ozone pollution in eastern China during late summer—fall (**Fig.1**). The observed year-to-year variability and spatial pattern of late summer ozone pollution over Southeast China show strong correlations with drought conditions, and to a lesser extent with surface air temperature. Lower ozone levels were observed during cooler and wetter conditions in September 2014, 2015, 2017, and 2018. The highest ozone concentrations were observed in the Yangtze Plain and the Pearl River Basin during the compound heatwave and drought events of September 2019 and 2022. The magnitude and spatiotemporal

persistence of late summer high-ozone pollution during these two years is unprecedented over the 10-year observational record. The percentage of site-days with MDA8  $O_3$  exceeding 80 ppbv (approximately  $160 \, \mu g/m^3$  – the Chinese National Ozone Air Quality Standard) is 29% in 2019 and 2022, compared to 10% on average for the other years.

While many recent studies have discussed ozone pollution in China being exacerbated by high temperatures (e.g., Li et al., 2020; Wang P et al., 2022; Xia et al., 2022; Wang et al., 2024), we distinguish the effects of humid versus dry heat extremes. September 2021 is an example of a humid heatwave associated with a westward extension of the Western Pacific Subtropical High [Ding et al., 2022; Wang and Sun, 2022], which brought rain-bearing, low-O<sub>3</sub> air from the tropical Pacific to South China (Fig.S5). Despite that surface air temperature in South China in September 2021 was two standard deviations warmer than the 1981-2010 average, ozone was not significantly enhanced. Increased water vapor enhanced ozone chemical loss. Persistent rainfall also maintained sufficient soil moisture for healthy vegetation, leading to efficient removal of O<sub>3</sub> through uptake by plant stomata. In late summer autumn 2019, Eastern China suffered an extreme drought with long-lasting duration and record-breaking intensity, caused by the meridionally elongated cyclonic circulation anomalies over the western North Pacific and the enhanced northerly wind anomalies over eastern China [Liu and Zhou, 2021; Chen et al., 2023; Fig.S5]. The drought started in the Yangtze Plain during August-September and propagated into South China during September-November. Deterioration of ozone air quality in the Pearl River Delta manifested as an expansion of the ozone season in 2019, with monthly mean MDA8 O<sub>3</sub> consistently above 70 ppbv and above one standard deviation from September through November (Fig.S1). The 2022 drought was more severe than the 2019 drought (Fig.1), and was characterized by rapid intensification [Liu et al., 2023; Ma et al., 2022; Zhang et al., 2023]. As drought intensified, ozone pollution increased rapidly in the Yangtze Plain during September 2022 and in the Pearl River Delta during September-October 2022 (Fig.S1).

## 4. Reduced ozone removal by drought-stressed vegetation [Figure 2 about here]

Using satellite observations and model simulations, we show soil water stress reduced vegetation health, photosynthesis, and ozone uptake by vegetation (Fig.2). During September 23–30 of 2019, the VIIRS Vegetation Health Index was well below 36 in the Yangtze Plain (Hubei and Henan), indicating levels of vegetation stress, losses of crop, and pasture production (**Fig.2A**). In contrast, the indices were well above 60 during September 23–30 of 2017 under wetter conditions (**Fig.1**), indicating favorable conditions for plentiful growth. **Fig.2B** compares TROPOMI solar induced fluorescence (SIF), a key indicator of a plant's photosynthetic activity, under normal conditions (average of 2018, 2020, 2021, and 2023) versus under drought stress in 2019 and 2022. SIF exhibits pronounced reductions (exceeding one standard deviation) in the Middle Reaches of the Yangtze Plain during September 2019, consistent with deterioration of vegetation health. During September 2022, the SIF reduction expanded to a larger land area, including Sichuan-Chongqing, Guizhou, Hubei, and Hunan in the Upper-Middle Yangtze Plain, consistent with drought stress in these areas.

**Figure 2C-2D** shows daytime (9AM-3PM local time) ozone deposition rates averaged over all vegetation types for September 20–30 of 2019 and 2022 versus 2017 from two AM4 simulations with different soil water availability. In AM4\_drySoil, daytime  $V_{d,O3}$  over the Yangtze plain decreased to 0.2–0.4 cm s<sup>-1</sup> in 2019 and 2022, representing a 60% reduction from 0.5–0.7 cm s<sup>-1</sup> under wet conditions in 2017, primarily due to the limitation of stomatal conductance under soil water stress (Fig.S3). With higher soil moisture in AM4\_wetSoil, stomatal closure was not triggered and simulated  $V_{d,O3}$  did not show significant changes in 2019 / 2022 compared to 2017. The very low  $V_{d,O3}$  values (0.2–0.4 cm s<sup>-1</sup>) simulated in AM4 drySoil for Southeast China in 2022 agrees with those derived from ozone flux

measurements at forest sites during the European mega-drought of 2003 and the North American mega-drought of 2012 [*Lin et al., 2019; 2020*]. Further supporting our model results, AM4\_drySoil simulated areas with the most pronounced  $V_{d,O3}$  decreases are consistent with satellite observations of the vegetated areas with reductions in photosynthesis.

## 5. Increased isoprene emissions and ozone production [Figure 3 about here]

TROPOMI observed a 30% increase of  $\Omega_{\text{HCHO}}$  in forested regions over Southeast China during September 2019 (**Fig.3A**) and 2022 (**Fig.S6-S7**). Increases in biogenic isoprene emissions and  $\Omega_{\text{HCHO}}$  are seen over Southeast China in AM4\_drySoil simulations with MEGAN (**Fig.3B-3C**). Simulated  $\Omega_{\text{HCHO}}$  has a large bias against TROPOMI over North China, which may reflect uncertainties in anthropogenic VOC emissions or in the land cover dataset used by MEGAN [e.g., *Ma et al., 2019; Pu et al., 2022*]. A few studies reported sustained drought stress leading to decreased isoprene emissions based on flux measurements at a temperate forest during the 2012 U.S. drought [*Seco et al., 2015; Zheng et al., 2017; Opacka et al., 2022; Shutter et al., 2024*]. For Southeast China, however, TROPOMI  $\Omega_{\text{HCHO}}$  reveals little evidence of a drought suppression effect. For both September 2019 and 2022, the areas with the largest  $\Omega_{\text{HCHO}}$  increases over Southeast China correspond to the areas with positive anomalies in  $T_{\text{max}}$ , indicating that the response of isoprene emissions to heat stress is the main driver for these  $\Omega_{\text{HCHO}}$  increases detected from space. Positive  $\Omega_{\text{HCHO}}$  anomalies were also observed during the 2005 Amazon drought and the 2010 Russian heatwave and drought [*Marengo et al., 2008; Morfopoulos et al., 2022*]. Isoprene emissions can continue at a high rate even when carbon assimilation is reduced due to stomatal closure [*Sharkey and Monson, 2014*].

#### [Figure 4 about here]

Land-atmosphere interactions have been recognized as a key reason for the amplification and persistence of heatwaves [e.g., *Teuling*, *2018*]. Sensitivity simulations with AM4 show that dry soils warm the atmosphere and thereby amplify the temperature-driven increase in isoprene emissions over South China during September 2019 and 2022 (**Fig.4**). Monthly mean T<sub>max</sub> increases by 2–3 °C from AM4\_wetSoil to AM4\_drySoil, leading to better agreements with observations. Isoprene emissions in AM4\_drySoil increase by 27% (2–6 mg m<sup>-2</sup> day<sup>-1</sup>) on average over Southeast China.

**Fig.3D-3E** displays anomalies in  $O_x$  (odd oxygen) production rates in AM4\_drySoil, 850 hPa wind vectors, and surface downward shortwave radiation for September 2019. In contrast to 2017 and 2021 when southerly winds bring rain-bearing, low-NO<sub>x</sub> air from the tropical Pacific to most of south China, the overwhelming northerly winds during September 2019 and 2022 bring dry and NO<sub>x</sub>-rich air from the highly industrialized North China Plain to south China. Transported anthropogenic NO<sub>x</sub> likely interacted with increased isoprene emissions from vegetation to accelerate in situ ozone production in the presence of strong downward solar radiation. Reductions in  $V_d$  of ozone precursors due to drought stress in vegetation also contribute to increased ozone production. Elevated ozone concentrations in Southeast China result not simply from transport of ozone suggested by some previous studies [e.g., Yang et al., 2024].

### 6. Impacts on surface ozone concentrations

#### [Figure 5 about here]

Finally, we quantify the extent to which biosphere-atmosphere interactions have influenced the observed ozone extremes during hot droughts. Observations show that September mean surface MDA8 O<sub>3</sub> in the Yangtze River Basin and the Pearl River Basin was 20–30 ppbv higher in 2019 and 2022 than 2017 (**Fig.5**). The two AM4 simulations with a different land state exhibit a striking contrast in their skill in

representing the observed ozone anomalies. The AM4\_wetSoil simulation, which shows little change in  $V_{d,O3}$  (**Fig.2D**), captures up to 50% of the observed ozone anomalies. With substantial reductions in ozone uptake by vegetation (**Fig.2C**) and increases in isoprene emissions due to warmer temperatures (**Fig.4**), AM4\_drySoil captures much better the magnitude and spatial pattern of observed high-ozone anomalies across Southeast China.

#### [Figure 6 about here]

The land-biosphere feedbacks exacerbate the most severe ozone events, causing an upward shift in the probability density distribution of daily MDA8 O<sub>3</sub> in Southeast China during hot droughts (Fig.6A). Results are also shown from the FIXDEPV simulation, in which soil characteristics follow AM4 drySoil but V<sub>d</sub> of ozone and its precursors are prescribed at 2018 monthly values. Contrasting simulated MDA8 O<sub>3</sub> between AM4 drySoil and FIXDEPV allows us to isolate the role of reduced ozone removal by vegetation. Since V<sub>d,O3</sub> in AM4 wetSoil exhibits little interannual variability and is almost identical to the 2018 level used in FIXDEPV (Fig.S3), we contrast MDA8 O<sub>3</sub> between AM4 wetSoil and FIXDEPV to gauge the contribution from higher isoprene emissions and chemical rates resulting from warmer temperatures. We find that limitation in ozone removal due to drought stress in vegetation plays a dominant role in elevating ozone during compound drought and heat events in the Southeast. The number of events in which MDA8 O<sub>3</sub> exceeds 100 ppby increases from 3% in AM4 wetSoil to 4% in FIXDEPV and 11% in AM4 drySoil, compared with 10% in observations. Events above 90 ppbv increase from 10% in FIXDEPV (AM4 wetSoil) to 28% in AM4 drySoil, compared to 24% in observations. Previous models have difficulties simulating observed peak ozone episodes [e.g., Hao et al., 2021; Gong et al., 2021]. Here we show that accounting for the limitation in ozone uptake by drought-stressed vegetation, the distribution of ozone concentrations shifts towards that in observations. The 95<sup>th</sup> percentile of MDA8 O<sub>3</sub> in AM4 drySoil matches the observed value (107 ppbv), increasing by 11 ppbv relative to AM4 wetSoil and 10 ppbv relative to FIXDEPV. These impacts are significant, given that the Chinese National Ambient Air Quality Standard for MDA8 O<sub>3</sub> is 160 µg/m<sup>3</sup>, approximately 80 ppbv. During hot droughts, daily MDA8 O<sub>3</sub> can range from 80 to 130 ppbv for 10 to 20 consecutive days.

Satellite-derived HCHO-to-NO<sub>2</sub> ratios indicate a VOC-limited or transitional ozone production regime over megacity clusters in the North China Plain, the Yangtze River Delta and the Pearl River Delta, while a NO<sub>x</sub>-limited regime is found in less-developed, BVOC-rich areas in South China [*Jin and Holloway*, 2015; Ren et al., 2022]. Our model also shows that ozone in South China responds (decreases) more strongly to 20% reductions in anthropogenic NO<sub>x</sub> than VOC emissions (**Fig.S8**). Aligned with the NO<sub>x</sub>-limited ozone formation in South China, we find that a 27% increase in isoprene emissions in FIXDEPV (AM4\_drySoil) relative to AM4\_wetSoil have only marginal (< 5 ppbv) impacts on ozone in the Yangtze Plain (orange vs green lines in Fig.6B). In contrast, reductions in ozone removal by vegetation increased MDA8 O<sub>3</sub> concentrations by 10–20 ppbv during ozone episodes in 2022 (red vs orange lines in Fig.6B). Over the Pearl River Delta in 2022, total MDA8 O<sub>3</sub> change between AM4\_drySoil and AM4\_wetSoil is approximately 20 ppbv, for which warmer temperatures and increased isoprene emissions contribute 5–8 ppbv and reductions in ozone deposition contribute 8–15 ppbv (**Fig.6C**).

#### 7. Implications for ozone air quality forecasting

Building upon our previous work for North America and Europe [Lin et al., 2019; Lin et al., 2020], this study highlights substantial reductions in ozone uptake by vegetation as a key mechanism driving recent ozone pollution extremes in Southeast China during hot droughts. As compound drought and heat events are projected to increase in a warming climate [e.g., Chen et al., 2023; Zhang et al., 2024], a mechanistic understanding of ozone pollution during hot droughts is crucial to improve forecasting for public health

- 292 alerts. Using a decade of observations and model simulations, we show that soil moisture deficits reduce
- 293 ozone removal by vegetation, amplify heatwaves, and boost isoprene emissions. Including the effects of
- soil moisture deficits on ozone deposition and precursor emissions in models can improve forecasting of
- 295 ozone extremes. Since soil moisture has longer persistence than atmospheric conditions, initialization of
- soil moisture using satellite observations in a coupled land–atmospheric chemistry model may increase
- 297 the predictive lead time of ozone extremes and help with public health alerts in populated regions in
- 298 China, North America and Europe.

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#### **Open Research**

- The source code of AM4 used in this study is identical to its variable-resolution version AM4VR,
- available at Lin (2023).

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#### 567 **Figure Captions**

- 568
- 569 **Figure 1.** Maps of observed anomalies in September mean daily maximum temperature (T<sub>max</sub>, with 570 stippling indicating two standard deviations warmer than the 1981-2010 average),
- 571 Standardized Precipitation Evapotranspiration Index integrated over August-September (SPEI02), and
- 572 September mean surface MDA8 O<sub>3</sub> concentrations from observations and model simulations
- 573 (AM4 drySoil) from 2014 to 2022.

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- **Figure 2**. Comparison of data from normal conditions (2017) and drought conditions (2019 and 2022) 575 in September for: (A) VIIRS Vegetation Health Index (VHI), (B) TROPOMI Solar Induced 576
- 577
  - Fluorescence (SIF), and (C-D) Simulated daytime ozone dry deposition velocities (V<sub>d.O3</sub>) from the
- 578 AM4 drySoil and AM4 wetSoil experiments. Note that TROPOMI data for 2017 is unavailable, so 579
  - the average of data from 2018, 2020, 2021, and 2023 is used to represent normal conditions.

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- Figure 3. Anomalies in September 2019 relative to 2018 for: (A-B) Satellite-retrieved and
- 582 AM4 drySoil simulated tropospheric column densities of formaldehyde ( $\Omega_{HCHO}$ ); (C) Simulated
- 583 biogenic isoprene emissions; (D) Simulated ozone (odd oxygen) production rates overlaid with 850
- 584 hPa winds; and (E) ERA5-observed surface downward shortwave radiation.

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**Figure 4**. Monthly mean  $T_{max}$  for September 2019 and 2022 from observations and model simulations with different soil moisture levels, along with the impact (drySoil minus wetSoil) on biogenic isoprene emissions.

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Figure 5. Anomalies in September mean surface MDA8 O<sub>3</sub> for 2019 and 2022 relative to 2017 from observations and two model simulations with changes in soil characteristics. The rectangles denote the Yangtze River Basin (black) and the Pearl River Delta (gray) analyzed in Fig.6.

- 594 Figure 6. (A) Probability density distribution of daily MDA8 O<sub>3</sub> in Southeast China for September
- 595 2019 and 2022 from observations (black) and three model simulations: AM4 wetSoil (green),
- 596 AM4 drySoil (red), and FIXDEPV (orange, same as drySoil, but with fixed-2018 V<sub>d</sub>). The 95th
- 597 percentile (q95), standard deviation ( $\sigma$ ) and percentage of site-days with MDA8 O<sub>3</sub> exceeding 90
- 598 (D90) and 100 (D100) ppbv are shown. (B-C) Daily MDA8 O<sub>3</sub> during September from 2017 to 2022 in
- 599 the Yangtze River Basin (YRB) and the Pearl River Delta (PRD), respectively. Black dots represent
- 600 the observed values averaged over sites in that region and gray bars represent cross-site standard
- 601 deviation. Correlations (r<sup>2</sup>) between observations and model simulations are shown.

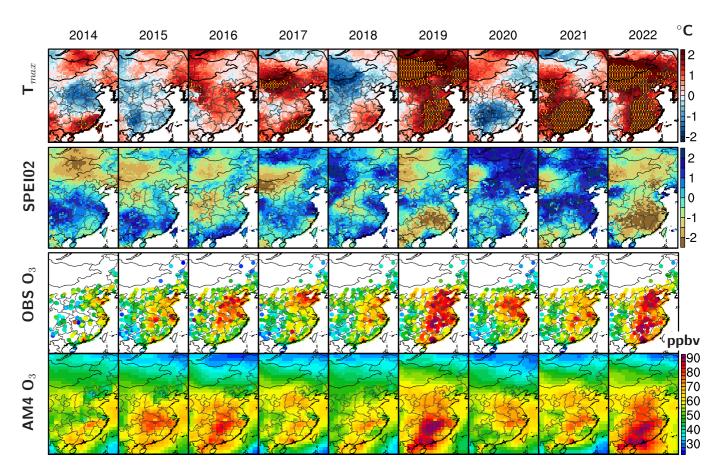


Figure 1. Maps of observed anomalies in September mean daily maximum temperature ( $T_{max}$ , with stippling indicating two standard deviations warmer than the 1981-2010 average), the Standardized Precipitation Evapotranspiration Index integrated over August-September (SPEI02), and September mean surface MDA8  $O_3$  concentrations from observations and model simulations (AM4\_drySoil) from 2014 to 2022.

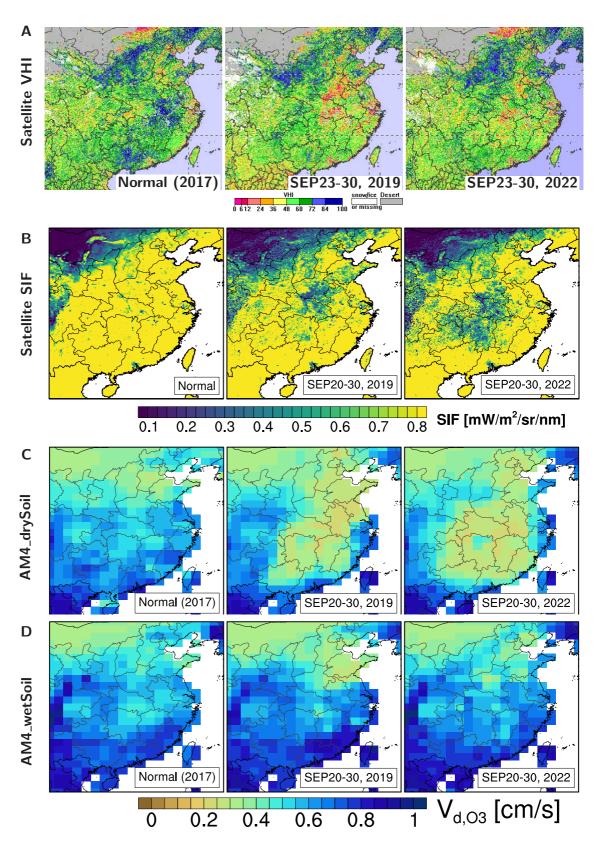


Figure 2. Comparison of data from normal conditions (2017) and drought conditions (2019 and 2022) in September for: (A) VIIRS Vegetation Health Index (VHI), (B) TROPOMI Solar Induced Fluorescence (SIF), and (C-D) Simulated daytime ozone dry deposition velocities ( $V_{d,O3}$ ) in the AM4\_drySoil versus AM4\_wetSoil experiments. Note that TROPOMI data are unavailable in 2017, so the average of 2018, 2020, 2021 and 2023 is used to represent normal conditions.

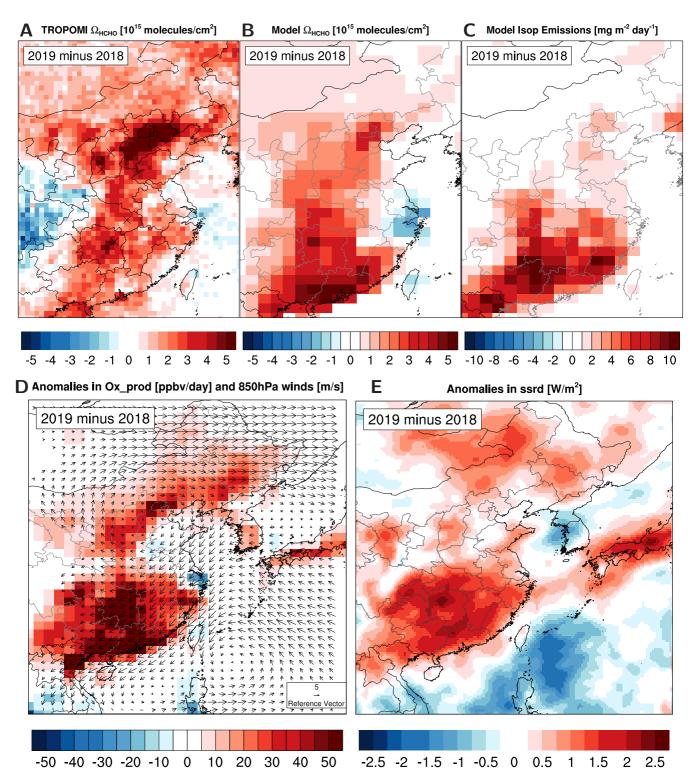


Figure 3. Anomalies in September 2019 relative to 2018 for: (A-B) Satellite-retrieved and AM4\_drySoil simulated tropospheric column densities of formaldehyde  $(\Omega_{HCHO})$ ; (C) Simulated biogenic isoprene emissions; (D) Simulated ozone (odd oxygen) production rates overlaid with 850 hPa winds; and (E) ERA5-observed surface downward shortwave radiation.

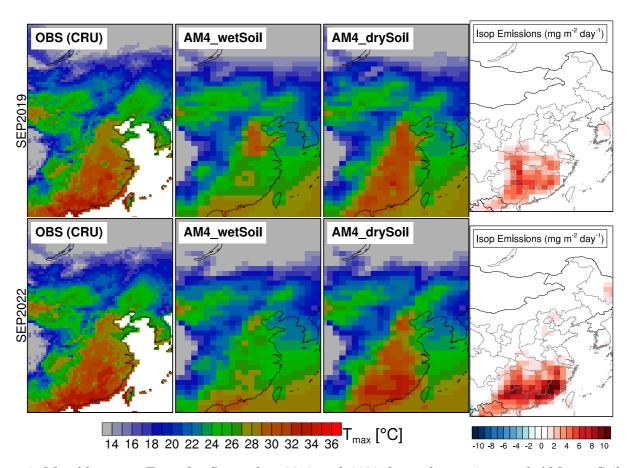


Figure 4. Monthly mean  $T_{max}$  for September 2019 and 2022 from observations and AM4\_wetSoil and AM4\_drySoil experiments and simulated difference (drySoil minus wetSoil) in biogenic isoprene emissions.

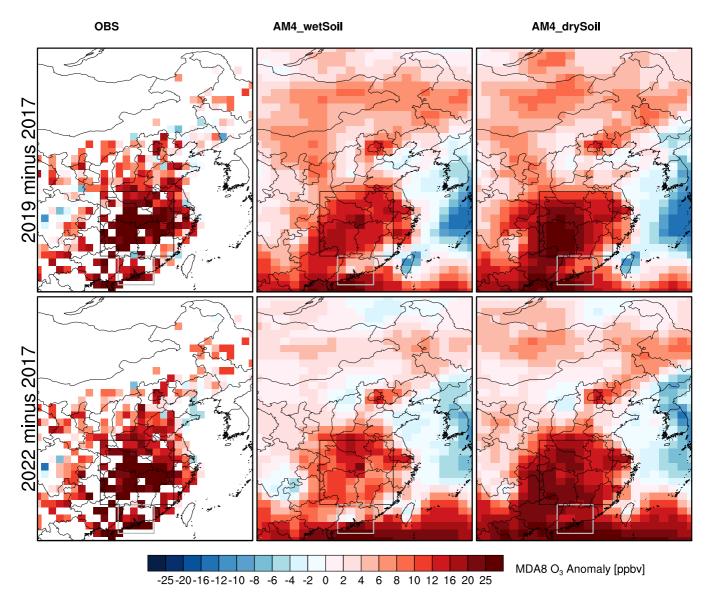


Figure 5. Anomalies in September mean surface MDA8  $O_3$  for 2019 and 2022 relative to 2017 from observations and two model simulations with changes in soil characteristics. The rectangles denote the Yangtze River Basin (black) and the Pearl River Delta (gray) analyzed in Fig.6.

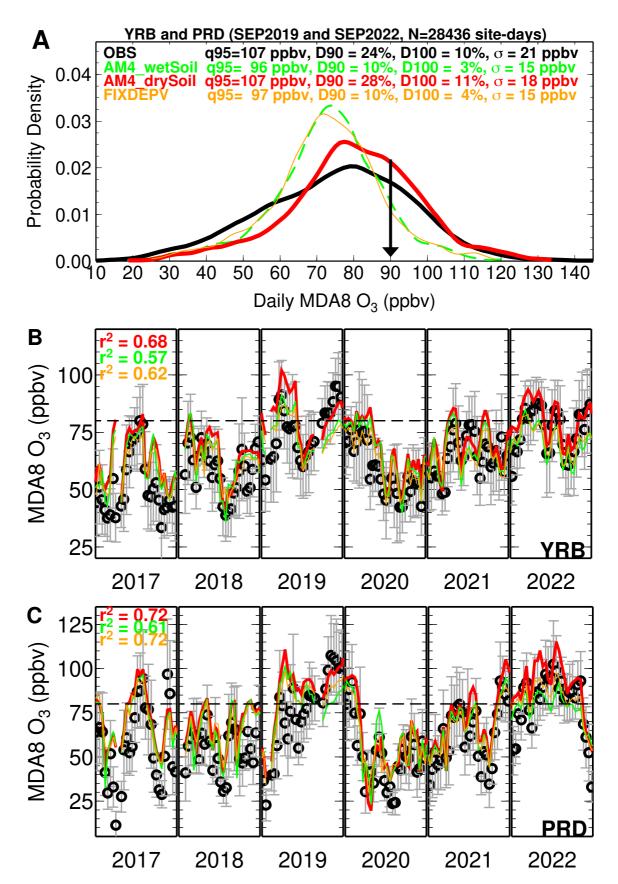


Figure 6. (A) Probability density distribution of daily MDA8  $O_3$  in Southeast China in September 2019 and 2022 from observations (black) and three model simulations: AM4\_wetSoil (green), AM4\_drySoil (red), and FIXDEPV (orange, same as drySoil but with fixed-2018  $V_d$ ). The 95th percentile (q95), standard deviation ( $\sigma$ ) and percentage of site-days with MDA8  $O_3$  exceeding 90(D90) and 100 (D100) ppbv are shown. (B-C) Daily MDA8  $O_3$  during September from 2017 to 2022 in the Yangtze River Basin (YRB) and the Pearl River Delta (PRD), respectively. Black dots represent the observed values averaged over sites in that region and gray bars represent cross-site standard deviation. Correlations ( $\mathbf{r}^2$ ) between observations and simulations are shown.